

Eurasian Empires and Chinese Empire: A Comparative View

欧亚古代帝国与中华帝国比较

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在人类历史中，大帝国具有极为重要的地位。两千多年来，大多数人是大帝国的臣民，其他人也或多或少地受过大帝国的直接或间接的影响。二十世纪初叶以来，在新兴的民族主义影响之下，“帝国”这个概念受到学术界的鄙视，甚至有人认为“帝国变成丑陋的单词”（“Empire has become an ugly word”）。然而二十一世纪以来，学者重新开始注意到帝国的重要性及其对人类历史在政治、思想、文化等方面的独特贡献。

中华帝国算世界历史上最成功的帝国之一，其历史延续性及其制度上的合法性都是世界诸多帝国中独一无二的。为了更深刻地了解中化帝国的历史特征及其得失，我们可以从比较角度来进行分析。本课程将介绍欧亚大陆的主要的帝国区域（即中东、南亚、东亚、西欧和内亚草原）及各个区域主要的帝国阶段（即：早期的帝国，第二期帝国【secondary empires】和第三期的帝国【蒙元以后的帝国】）。我们要讨论帝国的建立和维持方式，以及各自独特的历史背景如何影响其发展轨迹，并讨论帝国的政治文化、皇权的特征、军事制度的特征、政权与教权之间的关系等方面。此外，我们还要讨论帝国的文化特征及其长远影响。

请注意：阅读资料几乎都是英文的，讲座是双语——英文、中文并重，讨论时可用中文。

**1. (三月 15 日 18:30-20:10) Introduction. Definitions of “empire.”帝国的定义
Views of the empire in 20th-21st centuries: “imperialism” and its negative emotive appeal 20-21 世纪的对“帝国”的看法以及对“帝国主义”的反感。
Imperial periodization: primary, secondary and tertiary empires 帝国的断代：早期、第二期、第三期的帝国及其特征。**

Reading:

Yuval N. Harari: *Sapiens: A Brief History of Humankind*, New York: Harper Collins, 2015, 188-208. (尤瓦尔 赫拉利著《人类简史》，中信出版社 2014, 第 183-202 页。)

Jack A. Goldstone and John F. Haldon, “Ancient States, Empires and Exploitation: Problems and Perspectives,” in *The Dynamics of Ancient Empires: State Power from Assyria to Byzantium*, edited by Ian Morris and Walter Scheidel, 3–29. Oxford: Oxford University Press, 2009.

2. (三月 16 日 14:00-15:40) Early Empires: Assyrians and Iran 早期帝国：亚述和阿契美尼德王朝

Reading: Pierre Briant. 2016. "Persia and the Persians." In: *The World around the Old Testament: The People and Places of the Ancient Near East*, ed. Bill T. Arnold AND Brent A. Strawn, 379-415. Grand Rapids, MI: Baker.

3. (三月 22 日 18:30-20:10) Early Empires: Rome 早期帝国：罗马

Reading: Greg Woolf, "Inventing Empire in Ancient Rome," in Susan E. Alcock et al., eds., *Empires: Perspectives from Archaeology and History*, 311-326. Cambridge: Cambridge University Press.

4. (三月 23 日 14:00-15:40) Early Empires: Qin 早期帝国：秦朝

Reading: Yuri Pines. Forthcoming. "Limits of All-under-Heaven: Ideology and Praxis of Great Unity in Early Chinese Empire." In *All under Heaven: The Empires and their Space*, ed. Pines et al.

5. (三月 29 日 18:30-20:10) Secondary Empires: Byzantine and Western Europe 第二期帝国：拜占庭和西欧（以卡洛林王朝和神圣罗马帝国为主）

Reading: Johannes Preiser-Kapeller. Forthcoming. "The Medieval Roman Empire of the East as spatial phenomenon: Selected aspects (300-1200 CE)". In *All under Heaven: The Empires and their Space*, ed. Pines et al.

6. (三月 30 日 14:00-15:40) Secondary Empires: Sasanian Iran and the Caliphate 第二期帝国：萨珊王朝和哈里发帝国

Reading: Patricia Crone, "The Rise of Islam in the World," in Francis Robinson, ed., *The Cambridge Illustrated History of Islam*, 1-32. Cambridge: Cambridge University Press.

7. (四月 12 日 18:30-20:10) Tertiary Empires: The Mongols and their Impact 蒙元及其在世界史的地位

Reading: Michal Biran, "Mongol Imperial Space: Between Universalism and Particularism." In *All under Heaven: The Empires and their Space*, ed. Pines et al.

8. (四月 13 日 14:00-15:40) Tertiary Empires: The post-Mongol World 后蒙元时代的帝国

Reading Stephen F. Dale, “The Territories and Boundaries of Empires: Ottoman Safavid and Mughal” In *All under Heaven: The Empires and their Space*, ed. Pines et al.

9. (四月 19 日 18:30-20:10) Administration of the Empire: Direct and Indirect Rule 帝国的行政方式：官僚方式和间接统治

Reading: Crooks, Peter and Timothy H. Parsons. 2016b. “Empires, Bureaucracy, and the Paradox of Power”. In: *Empires and Bureaucracy in World History: From Late Antiquity to the Twentieth Century*, ed. Crooks and Parsons, 3-28. Cambridge: Cambridge University Press.

10. (四月 20 日 14:00-15:40) Cultural Integration: Empires of Difference and of Uniformity 文化一体化：多元化与一元化之间

Reading: Myles Lavan, Richard E. Payne, and John Weisweiler. 2016. “Cosmopolitan Politics: The Assimilation and Subordination of Elite Cultures.” In: *Cosmopolitanism and Empire: Universal Rulers, Local Elites, and Cultural Integration in the Ancient Near East and Mediterranean*, ed. Lavan et al., 1-28. New York, NY: Oxford University Press.

11. (四月 26 日 18:30-20:10) Military and the Empire: Rome and China Compared 帝国的军事制度异同：以罗马和中国为核心

Reading: Nathan Rosenstein, “War, State Formation, and the Evolution of Military Institutions in Ancient China and Rome,” in: *Rome and China: Comparative Perspectives on Ancient World Empires*, ed. Walter Scheidel, 24-51. Oxford: Oxford University Press, 2009.

12. (四月 27 日 14:00-15:40) The Empires of God: Religion and Empires 宗教在建立帝国中的作用及其对帝国的政权的潜在挑战

Reading: Johannes Preiser-Kapeller. Forthcoming. “Christian Roman Empire? Byzantium between imperial monotheism and religious multiplicity, 4-9th century CE”
杨庆堃，《中国社会中的宗教(修订版)》(四川人民出版社, 2016), 第八章, 〈国家对宗教的控制〉。

13. (五月 3 日 18:30-20:10) The Emperors and their Image 各种皇帝及其形象

Reading: Alexander Yakobson: “The First Emperors: Image and Memory.” In: Pines et al., ed., *Birth of an Empire: The State of Qin Revisited* (Berkeley: UCP, 2014), 280-300.
Denis Sinor, “The Making of a Great Khan”, in *Altaica Berolinensia: The Concept of Sovereignty in the Altaic World*, ed. Barbara Kellner-Heinkele (Wiesbaden: Harrasowitch, 1993), 241-258.

14. (五月 10 日 18:30-20:10) Empires' propaganda and self-image 帝国的自我宣传

Reading: 柯马丁, 《秦始皇石刻》 上海古籍出版社 2015, 第 94-141 页。

Olivelle, Patrick (ed.) *Reimagining Asoka* (Oxford 2013), pp. 1-14, 157-183.

Behistun Inscription on <http://www.livius.org/be-bm/behistun/behistun03.html>

15. (五月 11 日 14:00-15:40) Empires' afterlife 逝去的帝国及其长远的影响

Reading: Valérie Huet, “Napoleon I: A New Augustus?” in *Roman Presences: Receptions of Rome in European Culture, 1789–1945*, ed. Catherine Edwards, 53-69 (Cambridge: Cambridge University Press, 1999).

Josef Wiesehofer, “The Survival and Rediscovery of Ancient Iran,” in idem, *Ancient Persia* (London and New York: Tauris, 2001), 223-242.